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September 27, 2001

The Rockefeller University  
1230 York Avenue  
Box 81  
New York, NY 10021-6399

10/9/01

Attention: Kathleen A. Denis, Ph.D.  
Senior Director of Technology Transfer

Re: U.S. Patent Application  
Inventors : Nathaniel Heintz; Peter Model; Xiangdong  
W. Yang; and Shiaoqing Gong  
Assignee : The Rockefeller University  
Title : METHODS OF PERFORMING  
HOMOLOGOUS RECOMBINATION  
BASED MODIFICATION OF NUCLEIC  
ACIDS IN RECOMBINATION  
DEFICIENT CELLS AND USE OF THE  
MODIFIED NUCLEIC ACID PRODUCTS  
THEREOF  
Serial No. : 09/619,364  
Filed : July 19, 2000  
Your Reference : RU 356  
Our Reference : 600-1-192N2

Dear Kathleen:

We have recently received an Office Action dated September 17, 2001, from the Patent and Trademark Office in connection with the above-identified Application. By this Office Action, the Examiner has required restriction for one of the following inventions under 35 U.S.C. § 121:

- Group I. Claims 1-14 and 33-35, drawn to a conditional replication shuttle vector, classified in classes 435 and 536, subclasses 320.1 and 23.1.
- Group II. Claims 15-32, drawn to a method of selectively performing homologous recombination and a method of selectively modifying a particular nucleotide sequence in a recombination deficient host cell, classified in classe 435, subclasses 455 and 325.

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TO: William Yang, M.D., Ph.D.

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DATE: October 9, 2001

PAGES TO FOLLOW: 2

MESSAGE:

*To:*  
*William Yang is out of town*  
*THRU 10/12*  
*John WALSH*  
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File No. RU 356 (600-1-192N2)

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If you have any questions regarding compatibility, you may reach us at (201) 487-5800.

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